

# Functional Analysis: Problem Sheet 1- Solutions

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1. (a) Let  $x, y \in X$ . By the triangle inequality, we have

$$\|x\| = \|(x - y) + y\| \leq \|x - y\| + \|y\|,$$

so

$$\|x\| - \|y\| \leq \|x - y\|.$$

Similarly

$$\|y\| - \|x\| \leq \|y - x\| = \|x - y\|$$

so  $|\|x\| - \|y\|| \leq \|x - y\|$  and we are done.

- (b) Let  $x \in X$  and  $\varepsilon > 0$ . Set  $\delta = \varepsilon$ . Suppose  $y \in X$ , and  $\|x - y\| < \delta$ . Then by the above,  $|\|x\| - \|y\|| < \varepsilon$ . It follows that the norm is a continuous map.

- (c) Let  $(x_n, y_n)$  be a sequence in  $V \oplus V$  which converges in norm to  $(x, y)$ . Then as in question 2, we know that  $\|x_n - x\| \rightarrow 0$  and  $\|y_n - y\| \rightarrow 0$  as  $n \rightarrow \infty$ .

Hence by the triangle inequality

$$\|(x_n + y_n) - (x + y)\| \leq \|x_n - x\| + \|y_n - y\| \rightarrow 0$$

as  $n \rightarrow \infty$ .

It follows that  $(x_n + y_n)$  converges in norm to  $x + y$ , which means addition is continuous.

We can similarly prove that scale multiplication is a continuous operation.

2. Let  $X$  and  $Y$  be normed vector spaces.

- (a) Let  $x \in X$ ,  $y \in Y$ , and  $\alpha \in \mathbb{F}$ . Then

$$\|\alpha(x, y)\| = \|\alpha x\| + \|\alpha y\| = |\alpha| \cdot \|x\| + |\alpha| \cdot \|y\| = |\alpha| \cdot \|(x, y)\|.$$

Let  $u, x \in X$  and  $v, y \in Y$ . Observe

$$\|(u, v) + (x, y)\| = \|u + x\| + \|v + y\| \leq \|u\| + \|x\| + \|v\| + \|y\| = \|(u, v)\| + \|(x, y)\|.$$

Finally, suppose  $\|(x, y)\| = 0$ . Then  $\|x\| + \|y\| = 0$ . But  $\|x\| \geq 0$  and  $\|y\| \geq 0$ . Thus  $\|x\| = \|y\| = 0$ , which means  $x = 0$  and  $y = 0$ , that is  $(x, y) = (0, 0)$ .

- (b) Let  $\varepsilon > 0$ . Then we have  $N_X, N_Y \in \mathbb{N}$  such that  $\|x_n - x\| < \frac{\varepsilon}{2}$  whenever  $n \geq N_X$ , and  $\|y_n - y\| < \frac{\varepsilon}{2}$  whenever  $n \geq N_Y$ . Let  $N = \max(N_X, N_Y)$ . Let  $n \geq N$ . Then

$$\|(x_n, y_n) - (x, y)\| = \|x_n - x\| + \|y_n - y\| < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.$$

So the sequence  $(x_n, y_n)$  converges in  $X \times Y$  to  $(x, y)$  as desired.

- (c) Let  $(x_n, y_n)$  be a Cauchy sequence in  $X \times Y$ . Observe that for all  $m, n \in \mathbb{N}$ , we have

$$\|(x_m, y_m) - (x_n, y_n)\| = \|x_m - x_n\| + \|y_m - y_n\|$$

so

$$\|x_m - x_n\| \leq \|(x_m, y_m) - (x_n, y_n)\| \quad \|y_m - y_n\| \leq \|(x_m, y_m) - (x_n, y_n)\|.$$

It follows that the sequences  $(x_n)$  and  $(y_n)$  are also Cauchy. Since the spaces  $X$  and  $Y$  are complete, these sequences have limits,  $x$  and  $y$  respectively.

By the previous part of the question, the sequence  $(x_n, y_n)$  therefore has limit  $(x, y)$ . It follows that the space  $X \times Y$  is a Banach space.

- (d) Let  $\mathbb{F}$  denote  $\mathbb{R}$  or  $\mathbb{C}$  as usual. Then  $\mathbb{F}$  is a Banach space.

If  $\mathbb{F}^n$  is a Banach space, then by the above so is  $\mathbb{F}^{n+1} = \mathbb{F}^n \oplus \mathbb{F}$ . It follows that for all  $n \in \mathbb{N}$ ,  $\mathbb{F}^n$  is a Banach space.

3. (a) Let  $(a_n) \in c_0$ . Since  $a_n \rightarrow 0$  as  $n \rightarrow \infty$ , the sequence  $(a_n)$  is bounded, and

$$\|(a_n)\| = \sup\{|a_n| \mid n \in \mathbb{N}\}$$

is well-defined.

To check this formula defines a norm:

- Let  $\alpha \in \mathbb{F}$  and  $(a_n) \in c_0$ . Then

$$\|\alpha(a_n)\| = \sup\{|\alpha a_n| \mid n \in \mathbb{N}\} = |\alpha| \sup\{|a_n| \mid n \in \mathbb{N}\} = |\alpha| \|(a_n)\|.$$

- Let  $(a_n), (b_n) \in c_0$ . Then

$$\begin{aligned} \|(a_n) + (b_n)\| &= \sup\{|a_n + b_n| \mid n \in \mathbb{N}\} \\ &\leq \sup\{|a_m + b_n| \mid m, n \in \mathbb{N}\} \\ &\leq \sup\{|a_m| + |b_n| \mid m, n \in \mathbb{N}\} \\ &= \sup\{|a_m| \mid m \in \mathbb{N}\} + \sup\{|b_n| \mid n \in \mathbb{N}\} \\ &= \|(a_n)\| + \|(b_n)\| \end{aligned}$$

- Suppose  $\|(a_n)\| = 0$ . Then  $\sup\{|a_n| \mid n \in \mathbb{N}\} = 0$ . Since  $|a_n| \geq 0$  for all  $n$ , this means  $|a_n| = 0$  for all  $n$ , and so  $(a_n) = 0$ .

So we have a norm.

- (b) Let  $(X_k)$  be a Cauchy sequence in  $c_0$ . Write  $X_k = (a_n^k)_{n=1}^\infty$ .  
 Let  $\varepsilon > 0$ . Then there exists  $K$  such that if  $k, l \geq K$  then  $\|X_k - X_l\| < \varepsilon$ .  
 But this means that

$$\sup\{|a_n^k - a_n^l| \mid n \in \mathbb{N}\} < \varepsilon$$

for  $k, l \geq K$ . In particular, for each  $n$ ,  $|a_n^k - a_n^l| < \varepsilon$  whenever  $k, l \geq K$ .

Hence, for each  $n$ ,  $(a_n^k)_{k=1}^\infty$  is a Cauchy sequence (in  $k$ ). Since  $a_n^k \in \mathbb{C}$ , and  $\mathbb{C}$  is complete, the sequence  $(a_n^k)_{k=1}^\infty$  converges to some limit  $b_n$ . Let  $X = (b_n)$ . We claim that  $\|X_k - X\| \rightarrow 0$  as  $k \rightarrow \infty$ , and  $X \in c_0$ . Hence  $c_0$  is a Banach space.

For the first, let  $\varepsilon > 0$ . Then we have  $K \in \mathbb{N}$  such that for each  $n$ ,  $|a_n^k - a_n^l| < \frac{\varepsilon}{2}$  whenever  $k, l \geq K$ . If we let  $l \rightarrow \infty$ , we see that for each  $n$ , we have  $|a_n^k - b_n| \leq \frac{\varepsilon}{2}$  whenever  $k \geq K$ .

It follows that  $\|X_k - X\| \leq \frac{\varepsilon}{2} < \varepsilon$  whenever  $k \geq K$ . This means that  $\|X_k - X\| \rightarrow 0$  as  $k \rightarrow \infty$ .

It remains to check that  $X \in c_0$ . Again, let  $\varepsilon > 0$ . Pick  $K$  such that  $\|X_k - X\| < \frac{\varepsilon}{2}$  for  $k \geq K$ . For such  $k$ , write  $X_k = (a_n^k)_{n=1}^\infty$ . Then since  $X_k \in c_0$ , we have  $n \in \mathbb{N}$  such that  $|a_n^k| < \frac{\varepsilon}{2}$  whenever  $n \geq N$ . Hence, for  $n \geq N$ , we have

$$|b_n| \leq |b_n - a_n^k| + |a_n^k| \leq \|X - X_k\| + |a_n^k| < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.$$

We conclude that  $X = (b_n) \in c_0$ .

4. (a) The space  $c_0$  is a Banach space, and a subspace of  $l^\infty$  with the same norm. Therefore  $c_0$  is closed.  
 (b) Consider the sequences  $X_n$  where

$$X_n = (1, \frac{1}{2}, \frac{1}{3}, \dots, \frac{1}{n}, 0, 0, \dots)$$

Let

$$X = (1, \frac{1}{2}, \frac{1}{3}, \dots)$$

Then  $X_n \in c_{00}$  and  $\|X_n - X\| = \frac{1}{n+1} \rightarrow 0$  as  $n \rightarrow \infty$ . But  $X \notin c_{00}$ . So  $c_{00}$  is not closed.

- (c) Let  $(X_k)$  be a sequence in  $C_N$  which converges to a limit  $X \in l^\infty$ . Write

$$X = (a_1, \dots, a_N, a_{N+1}, a_{N+2}, \dots).$$

Suppose  $a_n \neq 0$  for some  $n > N$ . Then, since  $X_k \in c_N$ , we have  $\|X_k - X\| \geq |a_n|$  for all  $k$ . It follows that  $X$  cannot be the limit of the sequence  $(X_k)$ .

It follows that  $X \in c_N$ , and  $c_N$  is closed.